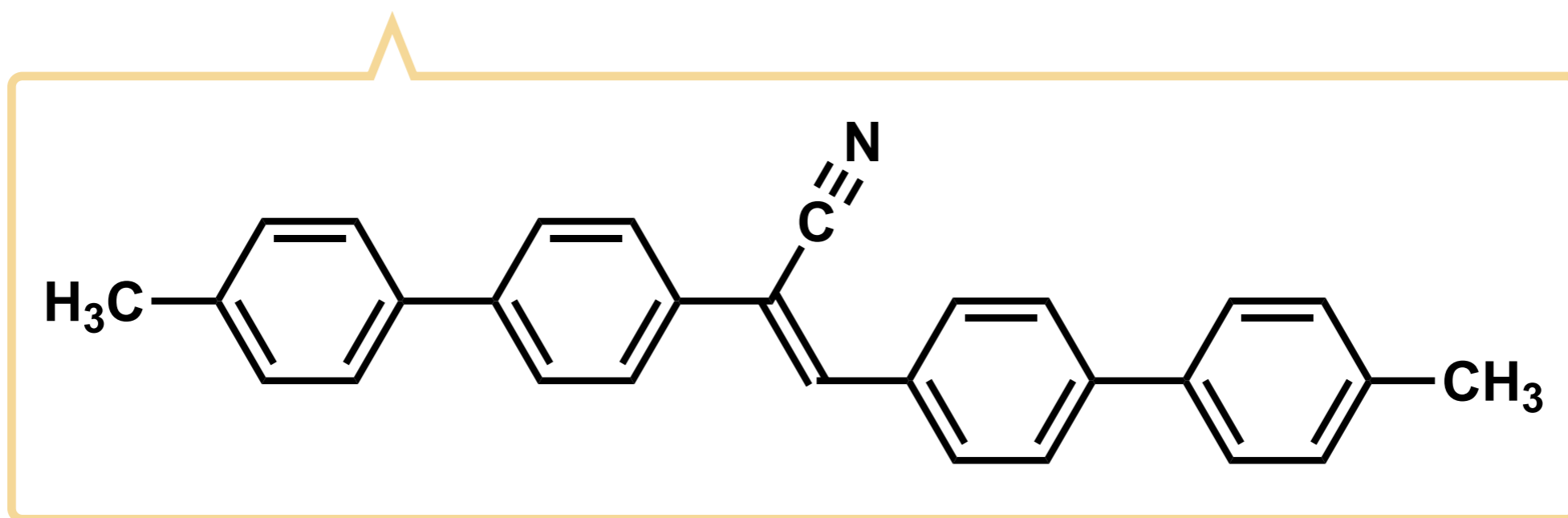


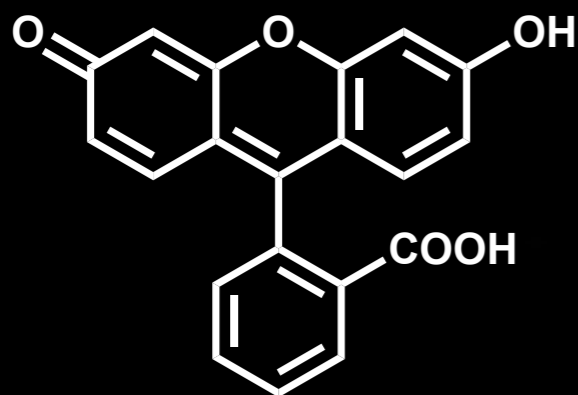
Free energy profile analysis of  
**aggregation-induced emission (AIE)** for  
a cyanostilbene derivative



CN-MBE

# ACQ

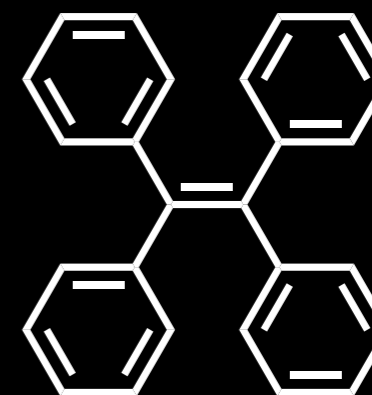
Aggregation Caused Quenching



*conventional* luminogen

# AIE

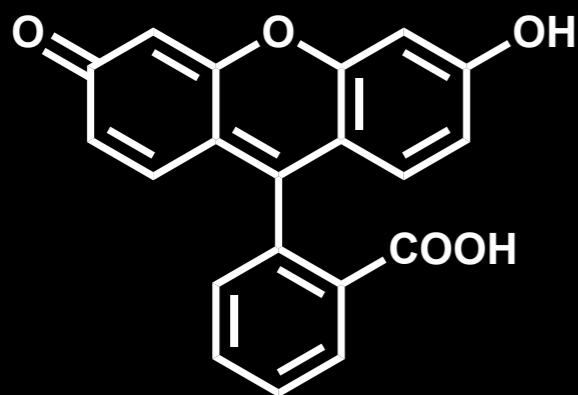
Aggregation Induced Emission



*AIE* luminogen

# ACQ

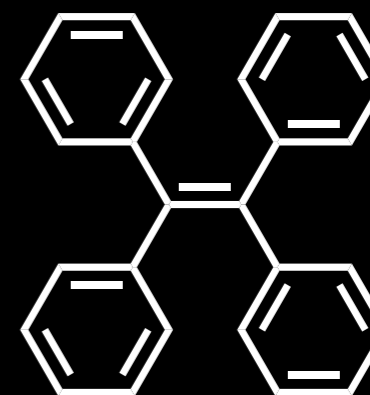
Aggregation Caused Quenching



*conventional* luminogen

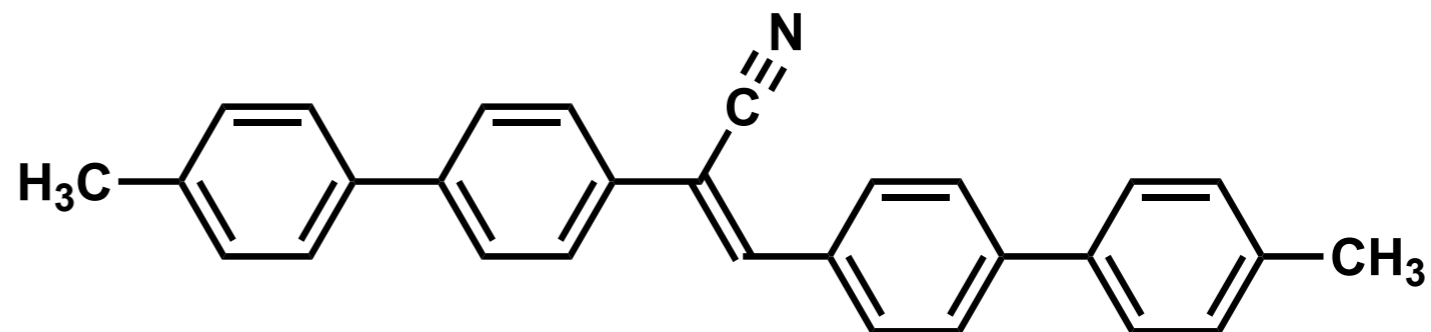
# AIE

Aggregation Induced Emission



*AIE* luminogen

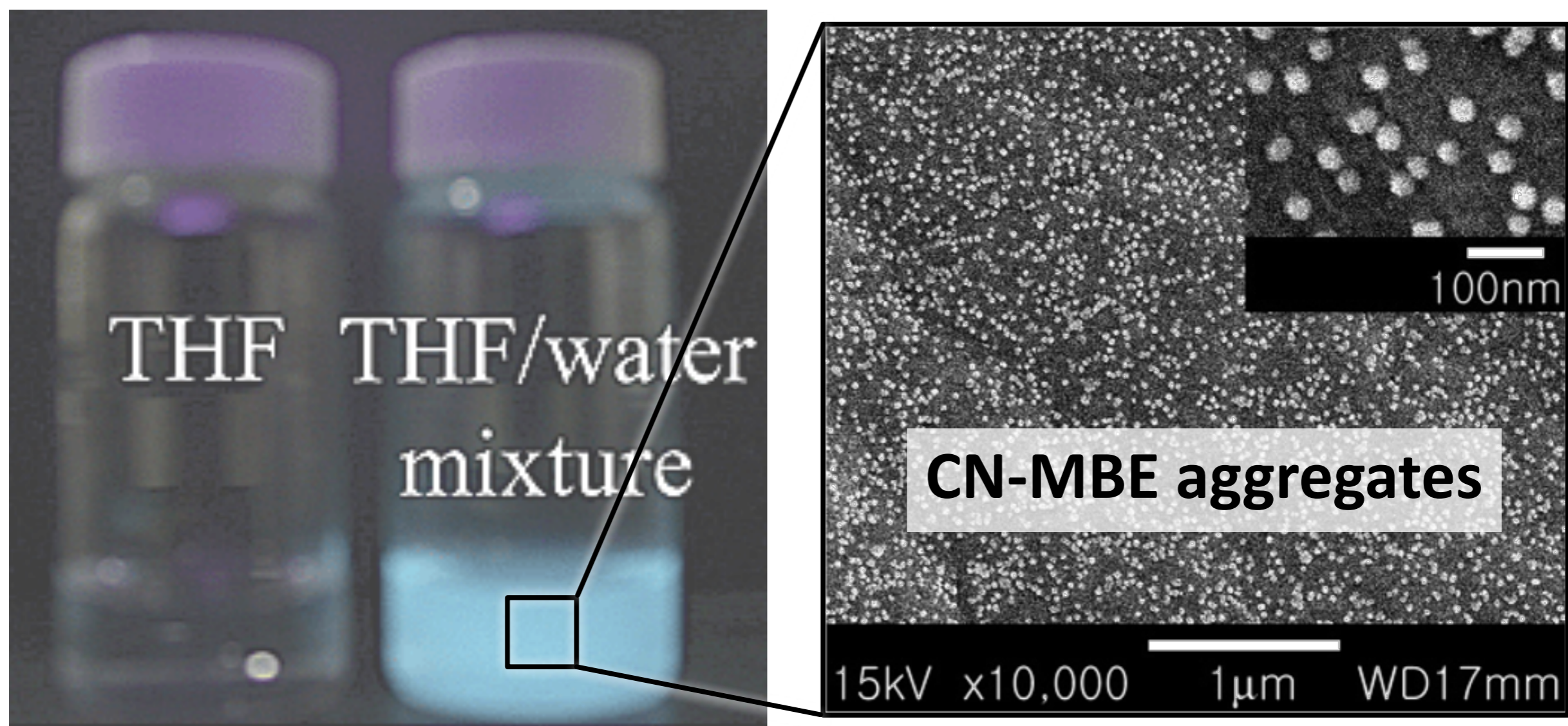
# CN-MBE



- CN-MBE shows AIE:

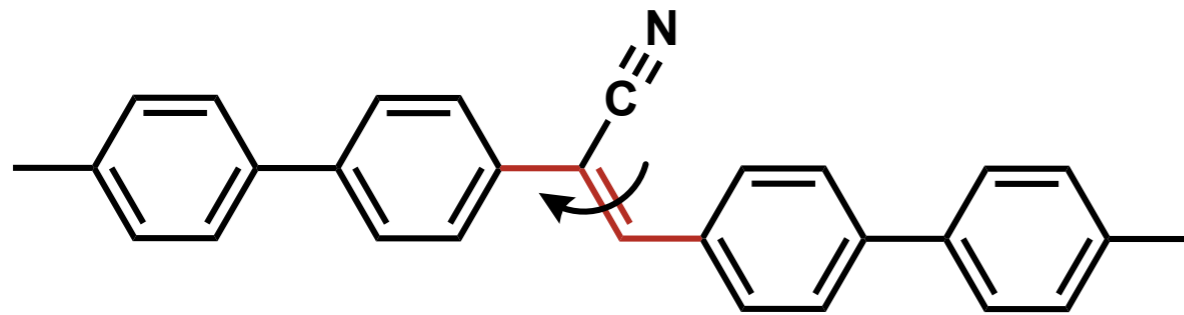
→ When dispersed in THF solution, it is **non-emissive**.

→ When water is added, it becomes **emissive** by forming aggregates.

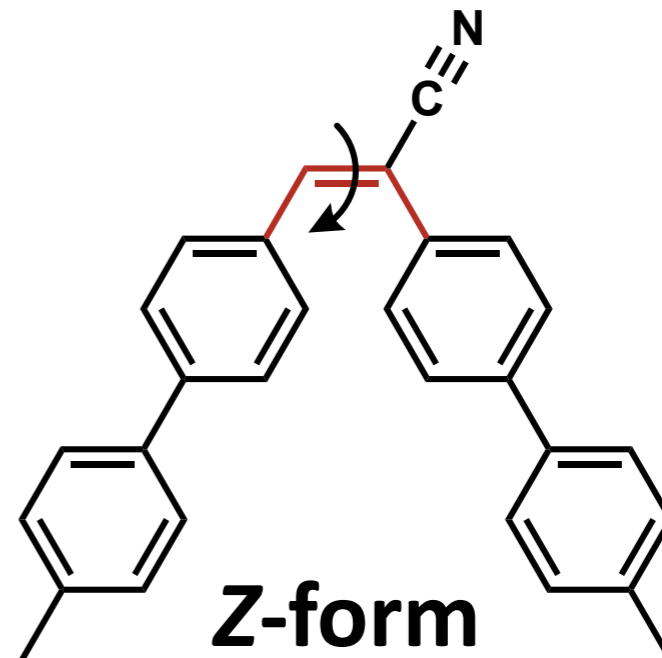




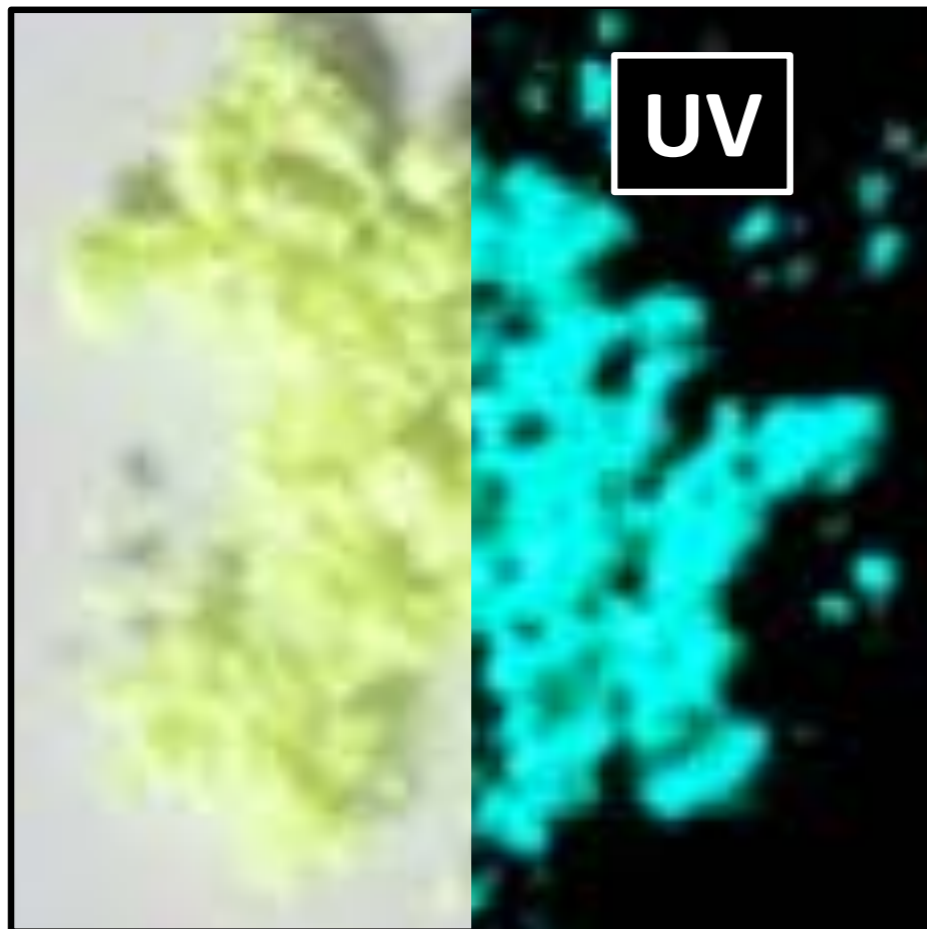
# CN-MBE has two isomers



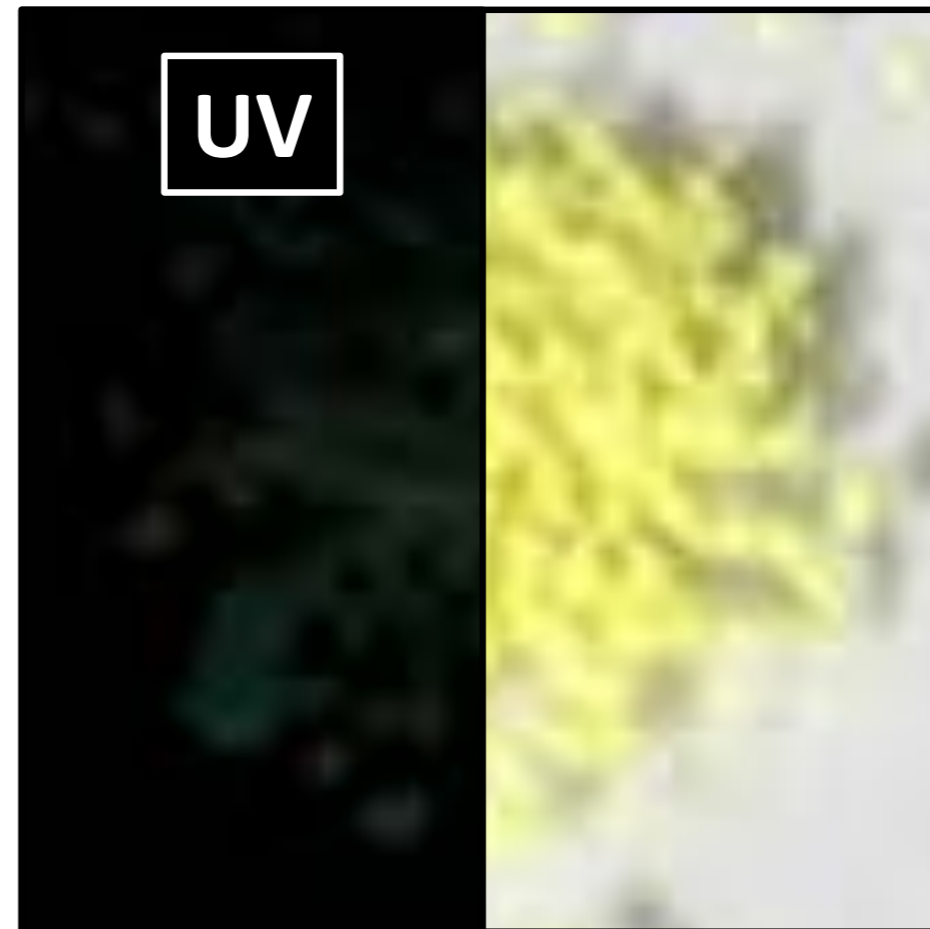
**E-form**



**Z-form**



**Aggregation induces emission.**



**Aggregation doesn't induce emission.**

# How does fluorescence quench?

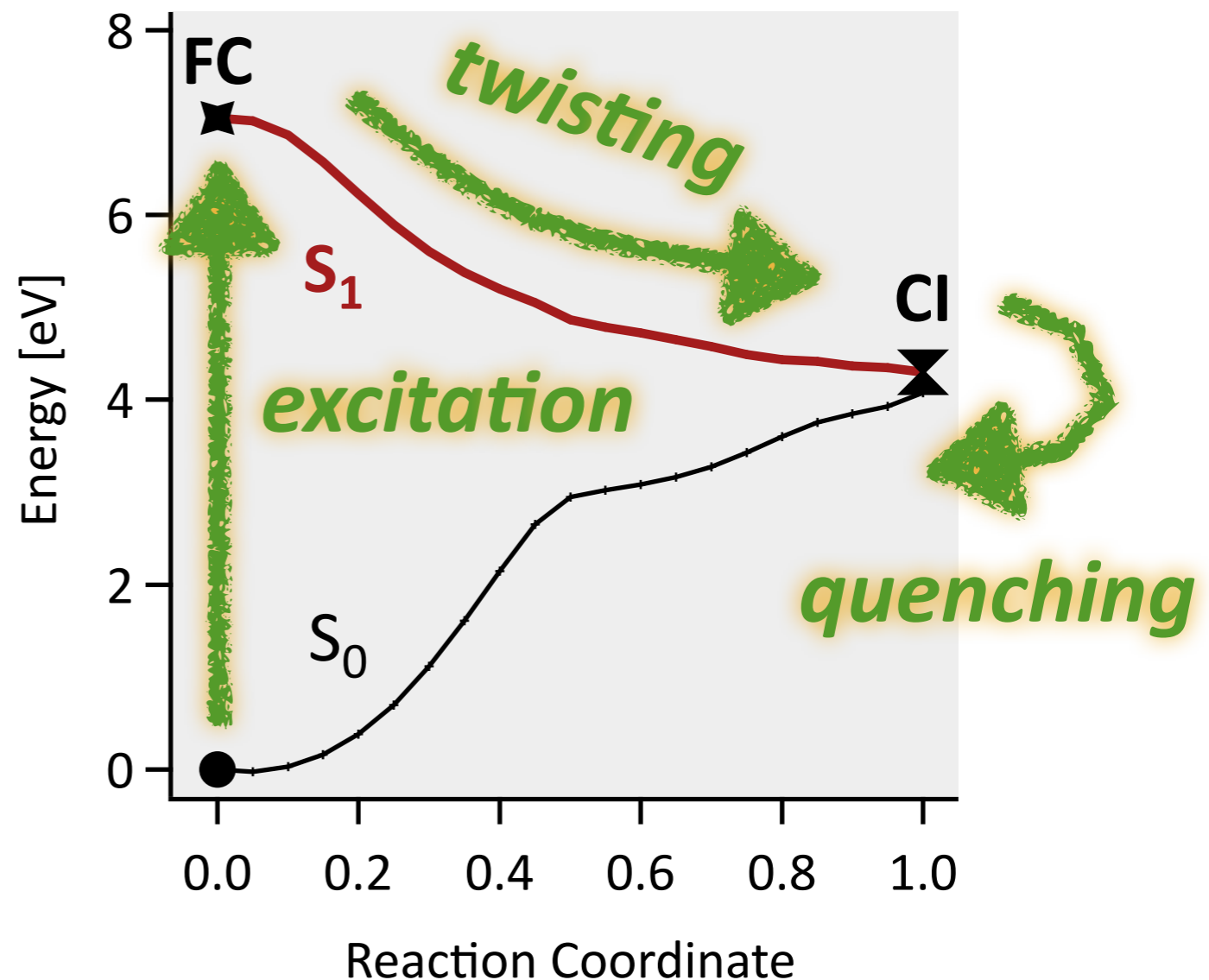
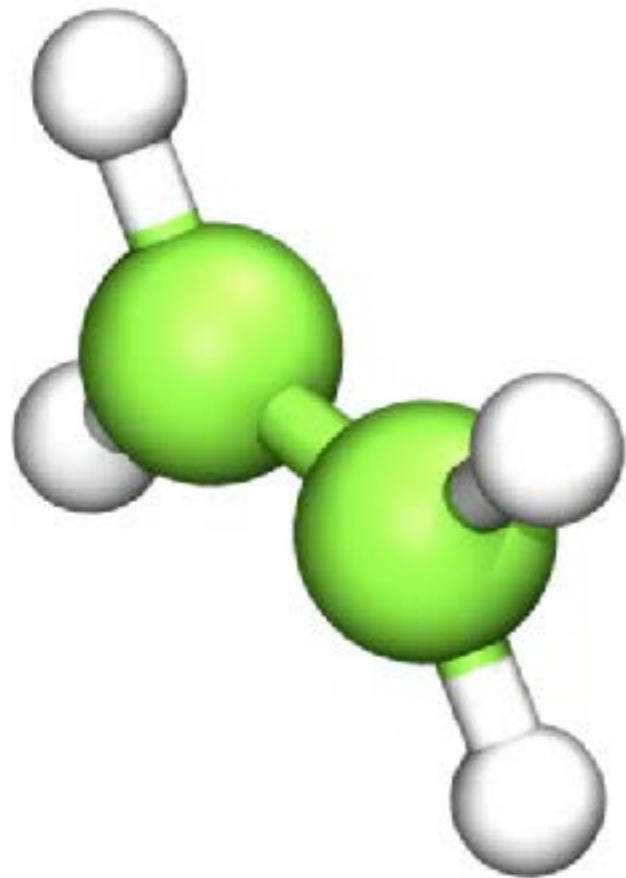
→ **Conical intersection (CI)** can play an important role.

e.g., **ethylene**

→ After photo-excitation, along the relaxation pathway on  $S_1$ ,

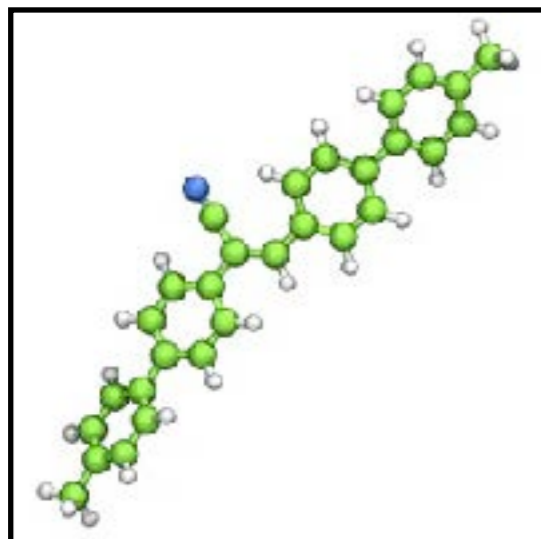
**the C=C bond twisting** occurs to reach **the CI between  $S_0/S_1$** .

→ At the **CI**, it returns to  $S_0$  without fluorescence (FL). → **FL quenches**.

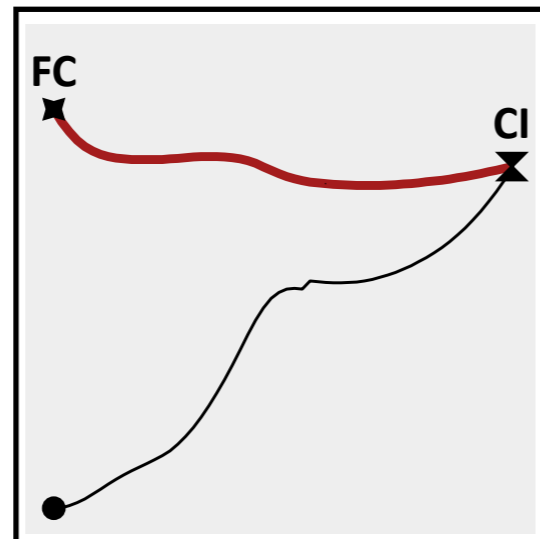


# Relaxation pathway of CN-MBE after photo-excitation

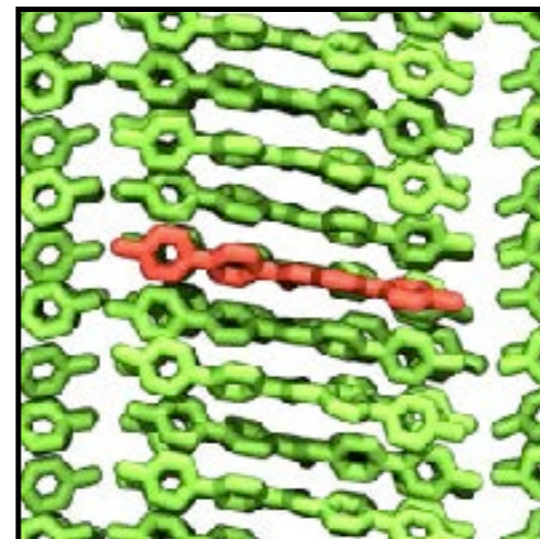
- For monomer,
  - (1) **conical intersections (CIs)** of CN-MBE were investigated,
  - (2) **minimum energy paths (MEPs)** that lead to CIs were determined,
- In aggregates, along the MEPs (determined for the monomer)
  - (3) **MD simulations** were performed,
  - (4) **free-energy profiles (FEPs)** were analyzed.



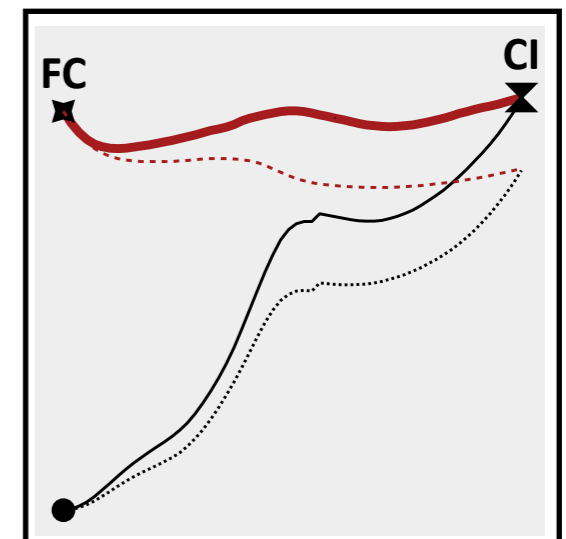
(1) CI



(2) MEP



(3) MD



(4) FEP

# Computational methods for **CN-MBE monomer**

- **Electronic Structure Calculations**

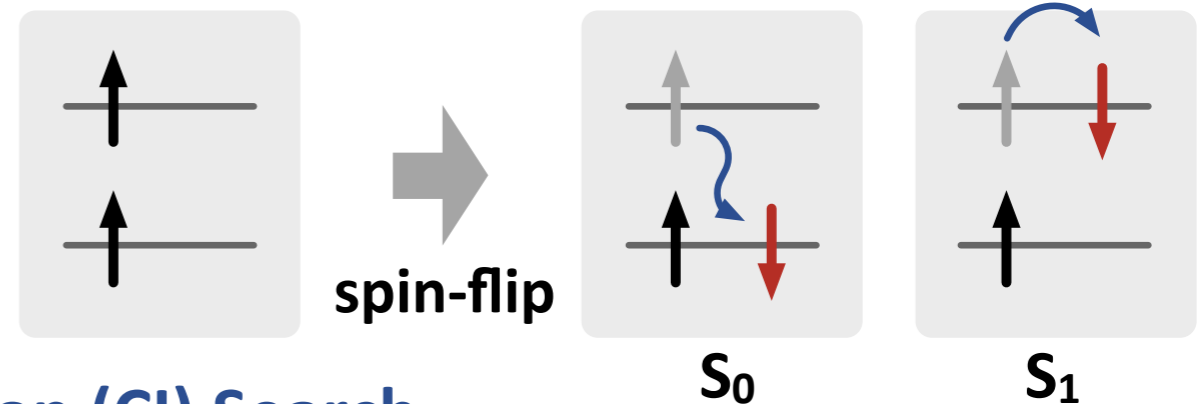
- **Method: Spin-flip TD-DFT** [Krylov 2001, Shao 2003]

- Even at CIs, SF-TD-DFT can treat  $S_0$  &  $S_1$  states on an equal footing.

- **Functional: BHHLYP**

- **Basis Set: 6-31G(d)**

- **Program: Q-Chem 5.1**



- **Minimum Energy Conical Intersection (CI) Search**

- **Method: Direct Method** [Bearpark 1994]

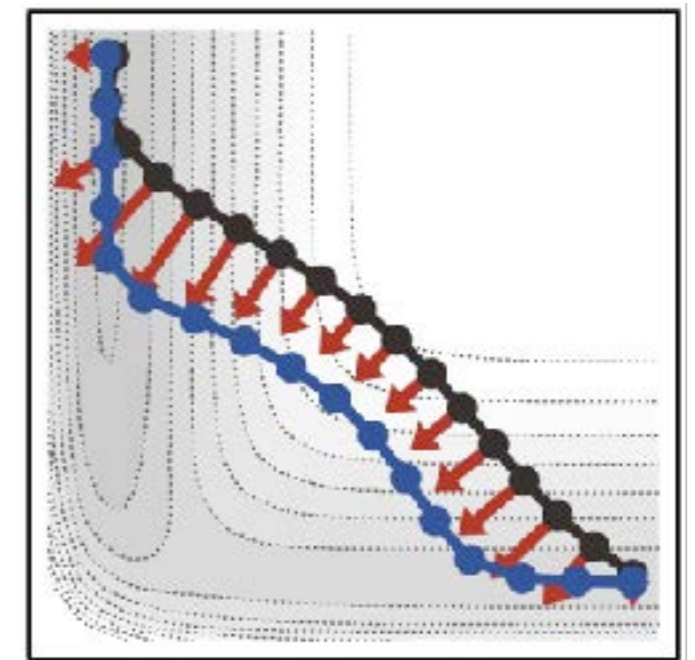
- **Program: Q-Chem 5.1**

- **Minimum Potential Energy Path (MEP) Search**

- **Method: String Method** [E 2002, E 2007]

- MEPs reaching to the MECI were searched.

- **Program: an in-house script**

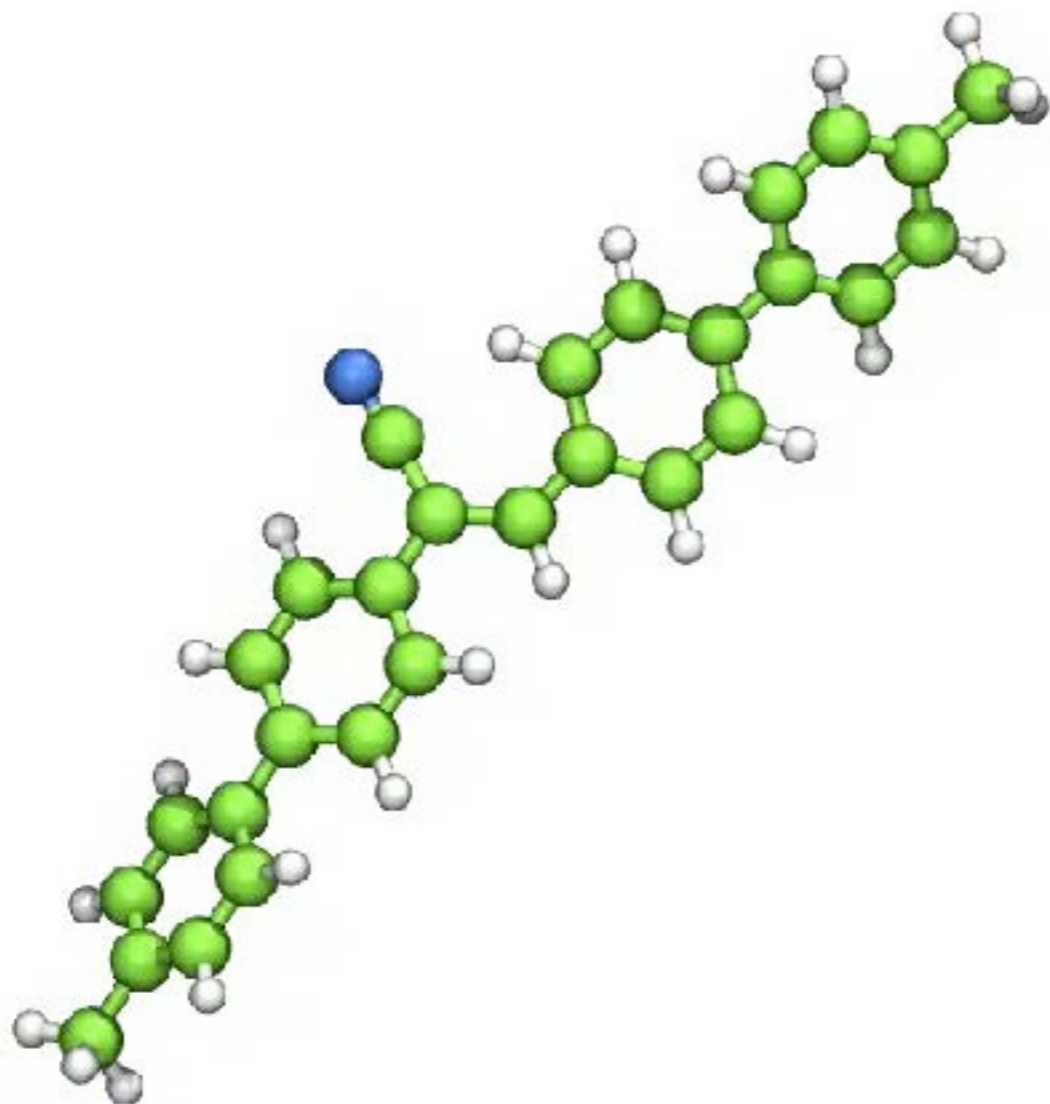


**string method**

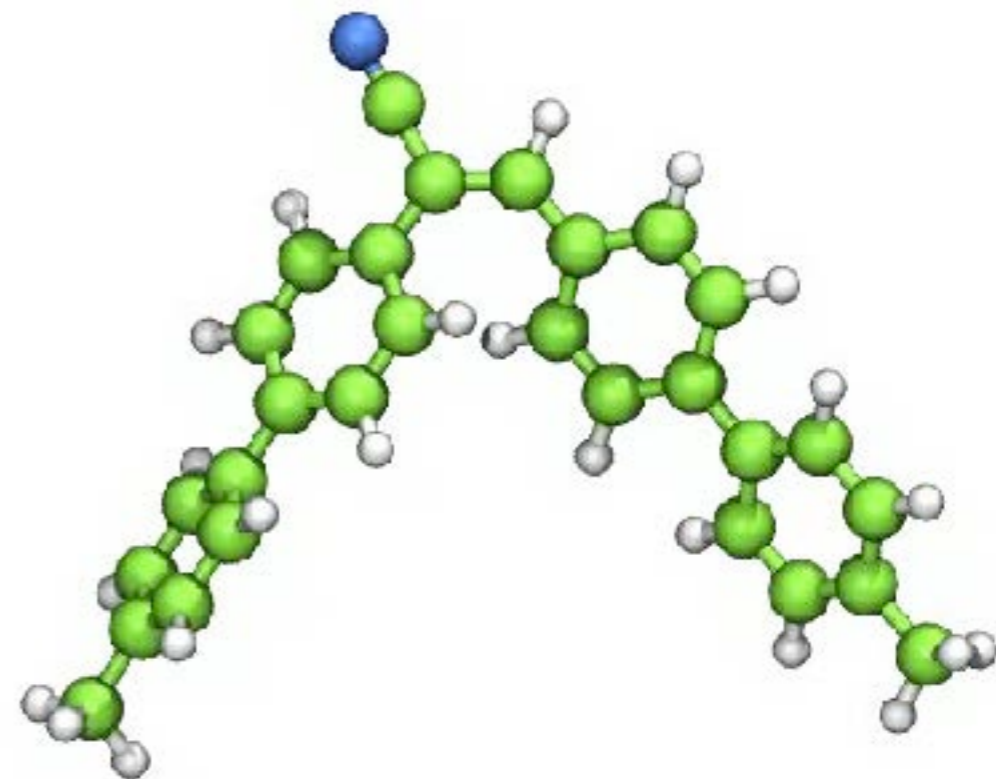


# MEPs between FC and CI

- Optimized geometries at the  $S_0$  state were determined, which corresponds to **the Frank-Condon (FC) geometries at the  $S_1$  state**.
- **Minimum energy point of  $S_0/S_1$  conical intersections (CIs)** was determined.
- **Minimum energy paths (MEPs) between FC and CI** were determined.



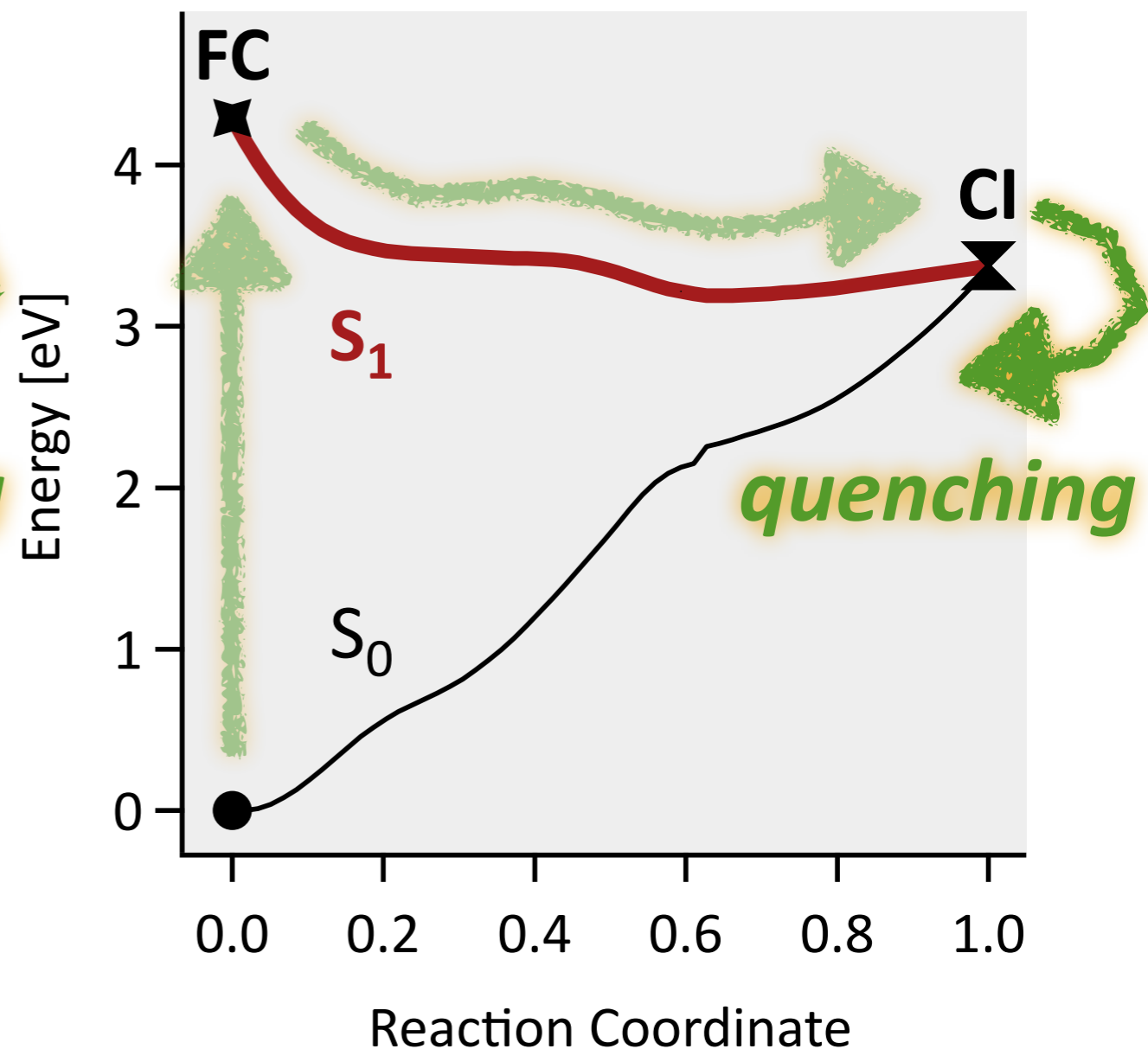
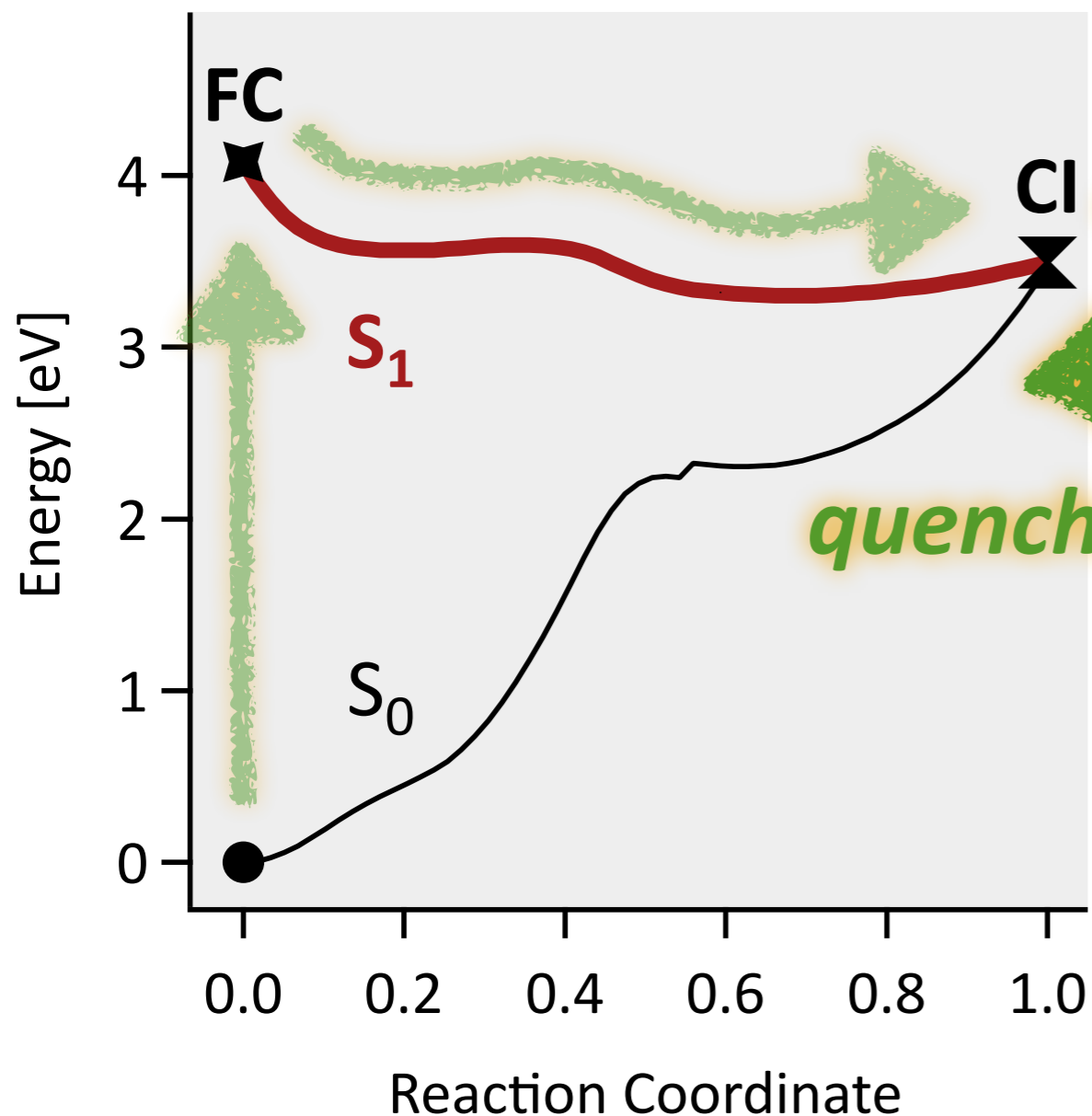
**FC** *E*-form → **CI**



**FC** *z*-form → **CI**

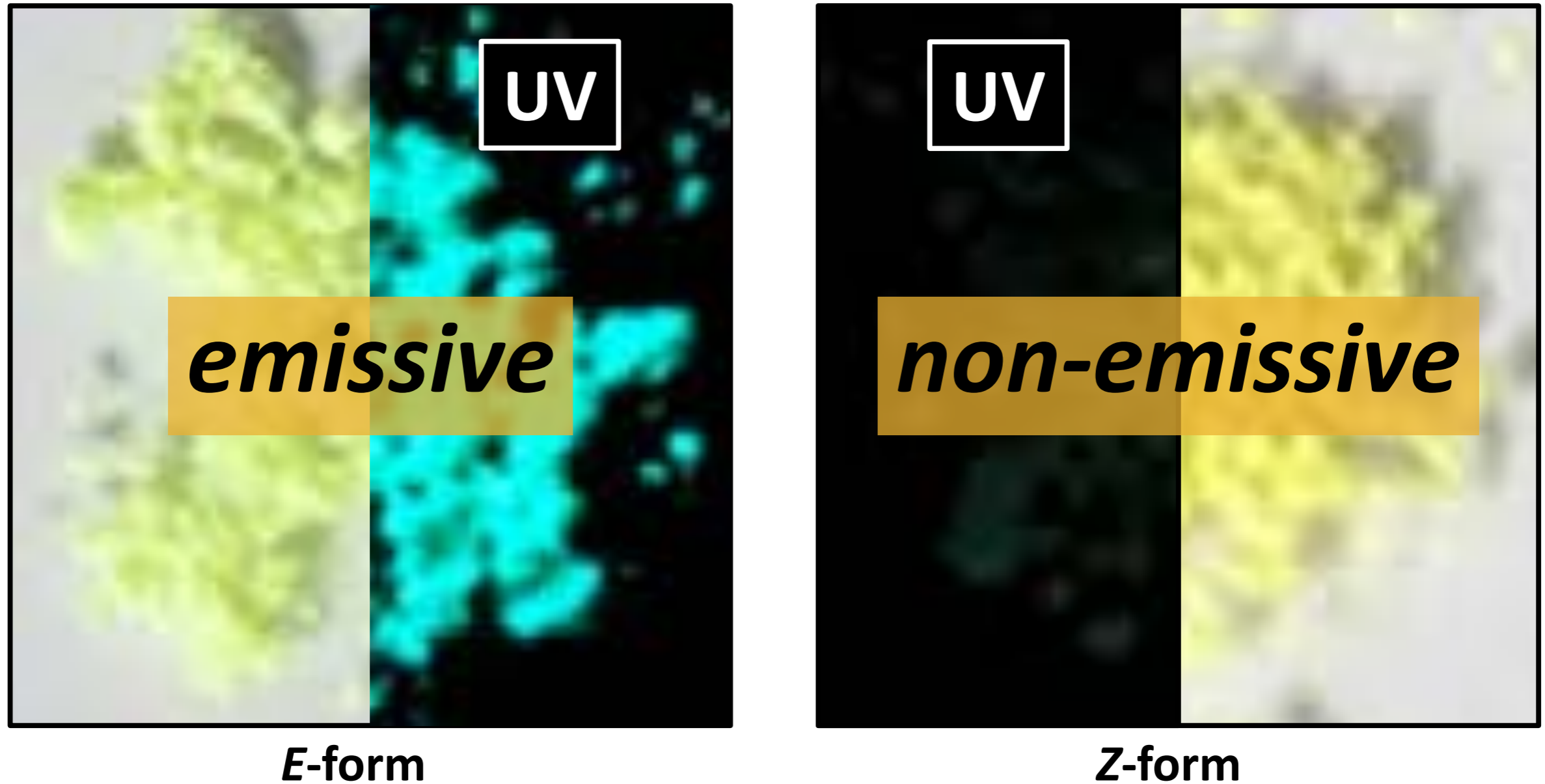
# Potential energy changes along the MEPs

- There is **no energy barrier** along the MEPs on the  $S_1$  surface.
- After photo-excitation, **it arrives at the CI** spontaneously, returns to  $S_0$  **without fluorescence emission**.
- CN-MBE is non-emissive when isolated. → ***What happens after aggregation?***



# AIE of CN-MBE depends on isomeric forms

- **E-form** becomes **emissive** after aggregation formation.
- **Z-form**, however, is **non-emissive** even in aggregated state.

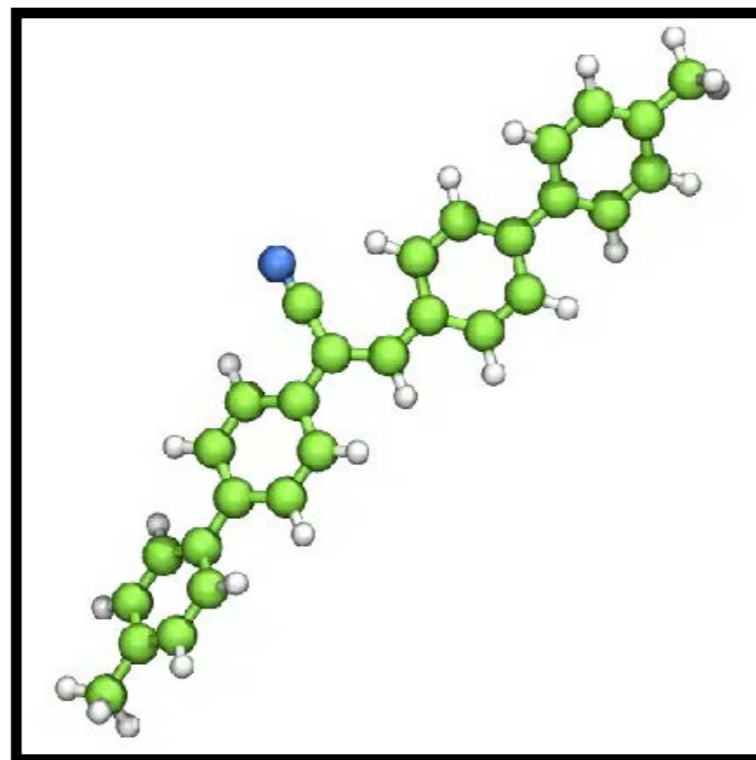


Chung, et al, *J. Phys. Chem. C*, 117, 11285–11291 (2013)

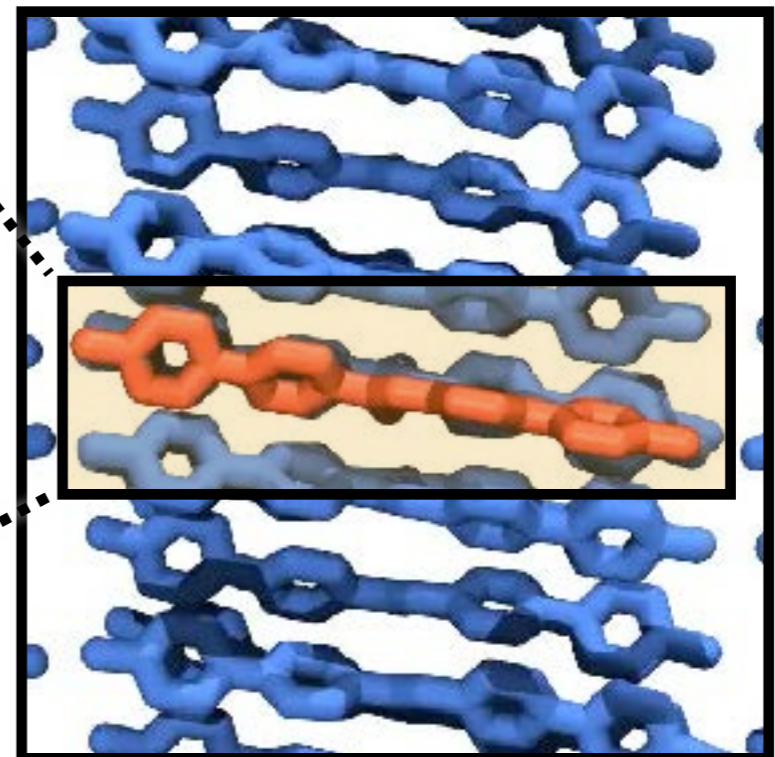
AIE mechanism? → **Free energy profiles** in the aggregated state were analyzed.

# Free energy analysis of **CN-MBE** aggregates

- Free energy changes along the **MEPs** were analyzed using the **QM/MM Free Energy Perturbation** [Zhang 2000].
  - One geometry was taken from the **MEP**, and embedded into an aggregate as a **Quantum Mechanics (QM)** part.
  - The other molecules were set as **Molecular Mechanics (MM)** parts.



*conformations along the MEP*



**QM**  
**MM**

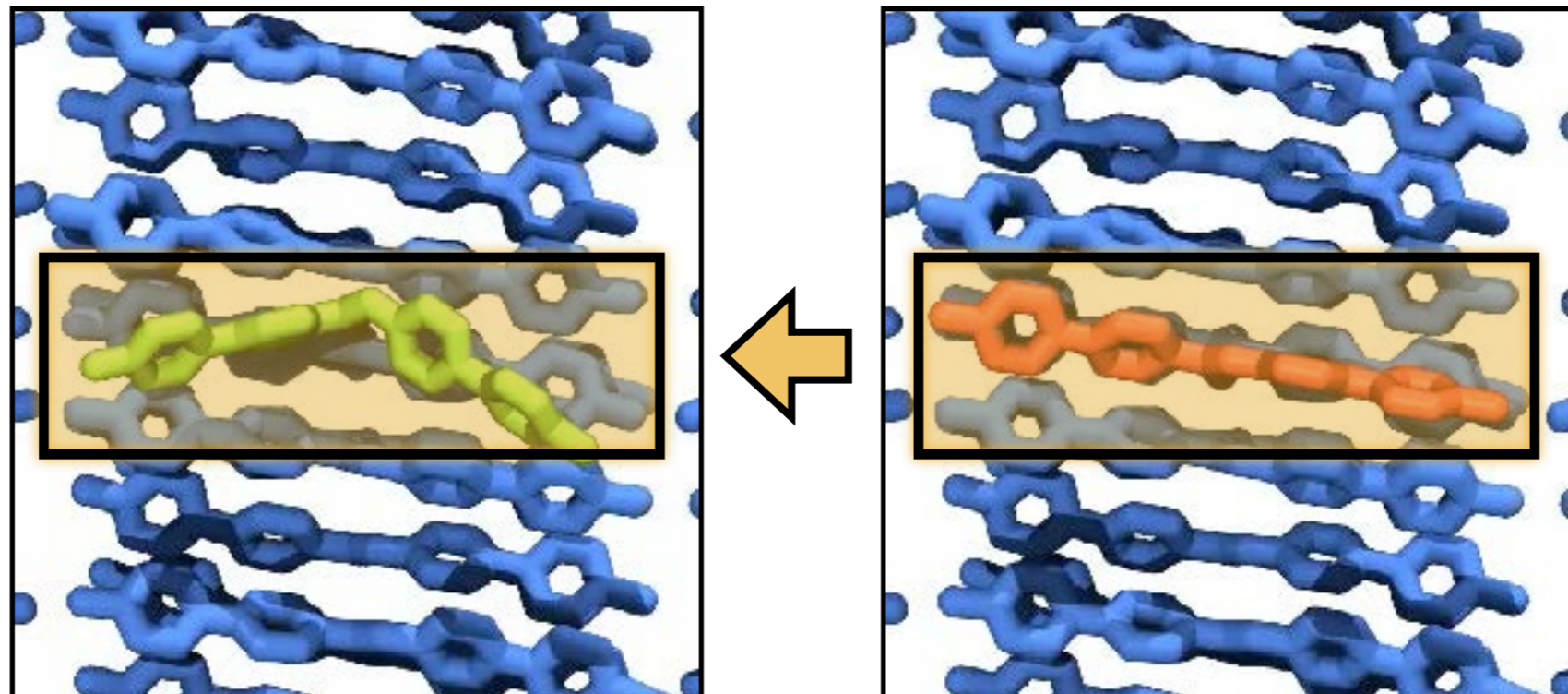


# Free energy analysis of **CN-MBE** aggregates

- Free energy changes along the MEPs were analyzed using the **QM/MM Free Energy Perturbation** [Zhang 2000].

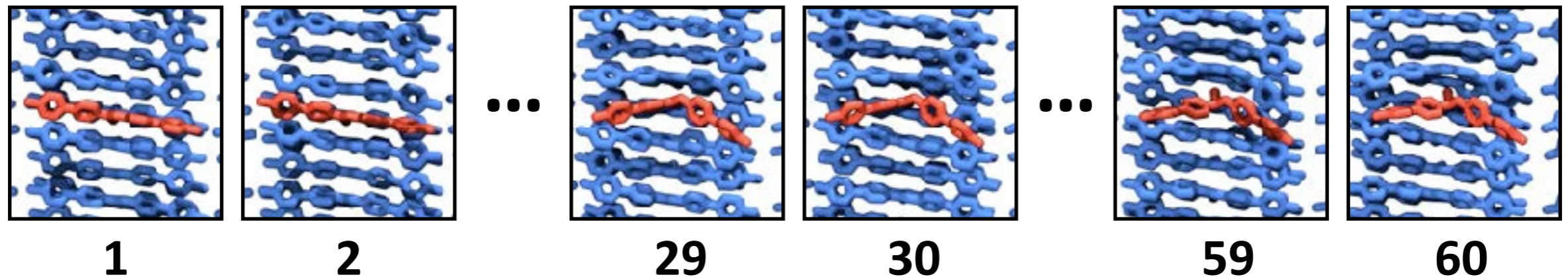
$$\Delta F = \Delta E_{\text{QM}} - k_{\text{B}}T \ln \left\langle \exp \left( -\beta \boxed{\Delta E_{\text{QM/MM}}^{(A \rightarrow B)}} \right) \right\rangle_{\mathbf{R}_{\text{MM}}}$$

$$\boxed{\Delta E_{\text{QM/MM}}^{(A \rightarrow B)}} = \underbrace{E_{\text{QM/MM}} \left( \mathbf{r}_{\text{QM}}^{(B)}, \mathbf{R}_{\text{MM}}^{(A)} \right)}_{\text{perturbed}} - \underbrace{E_{\text{QM/MM}} \left( \mathbf{r}_{\text{QM}}^{(A)}, \mathbf{R}_{\text{MM}}^{(A)} \right)}_{\text{unperturbed}}$$



# Free energy analysis of **CN-MBE** aggregates

- **Molecular Dynamics (MD) Simulations** were performed
  - for **60** QM/MM systems separately, where
    - QM molecules** were **fixed** to optimized structures on the MEP, and
    - MM molecules** **fluctuated** under given canonical ensembles.

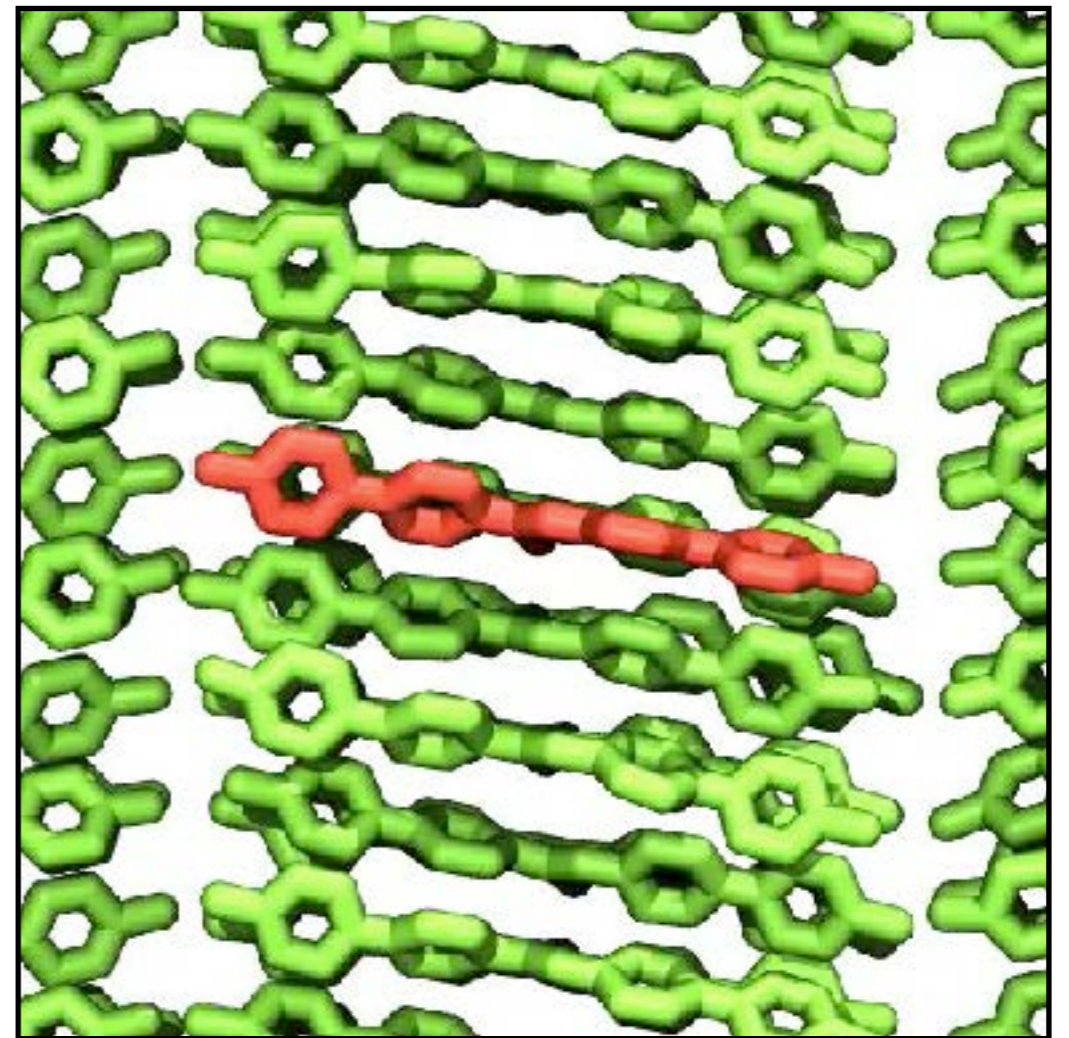
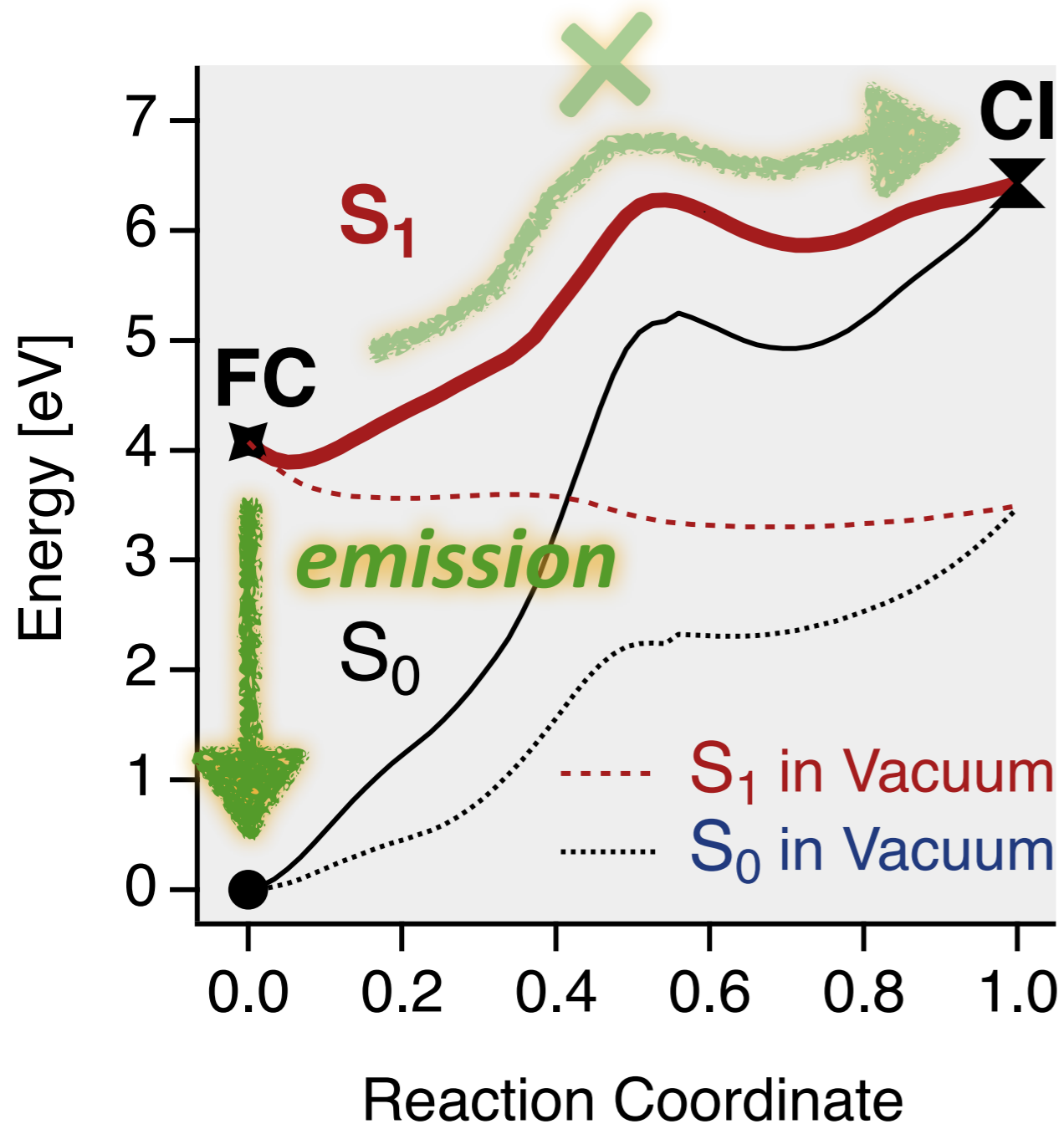


- **Condition: NpT** ( $p = 1$  atm;  $T = 300$  K)
- **Length: 2 ns** (1,000,000 steps  $\times$  2 fs/step)
- **Force Field: GAFF** (General Amber FF)
- **Program: Amber 18** package



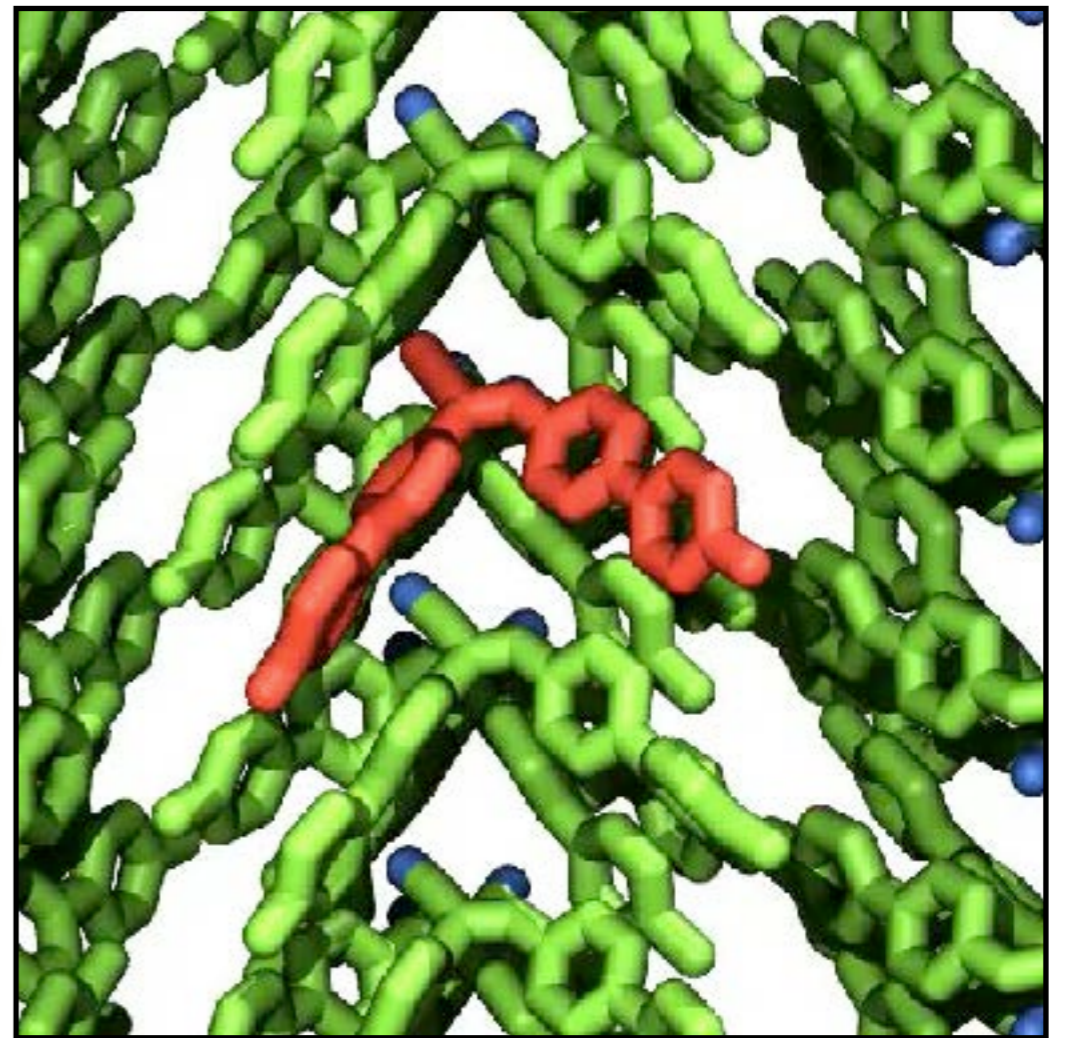
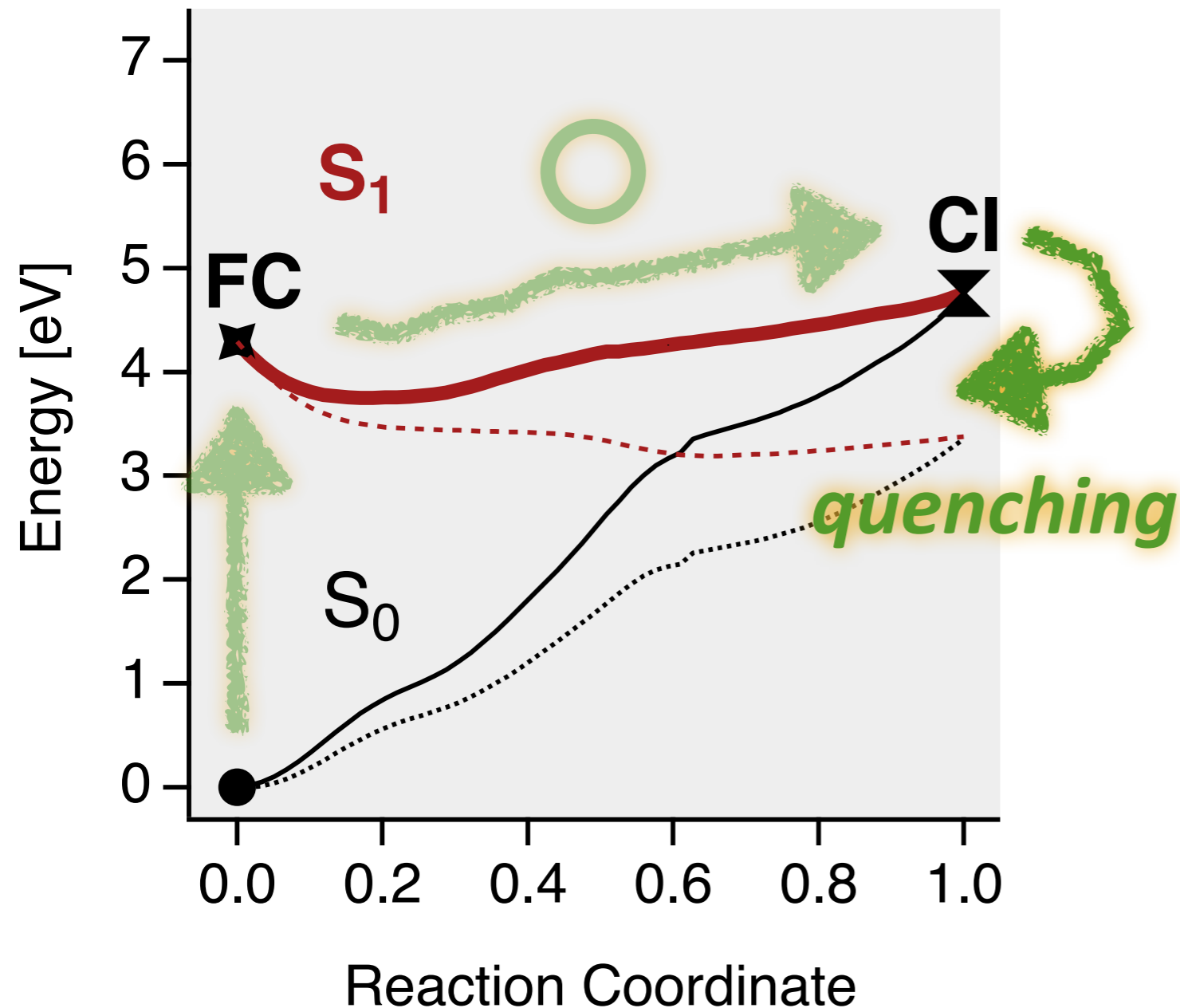
# E-form aggregate

- The free-energy profile shows the FC-to-CI “*twisting*” path is **an uphill**, where the **CI point** is **2.3 eV higher** than the FC point.
- **The twisting is restricted.** → **The molecule can not reach CIs.**
- Excitation energy **does not vanish through CIs.** → **FL emission occurs.**



# Z-form aggregate

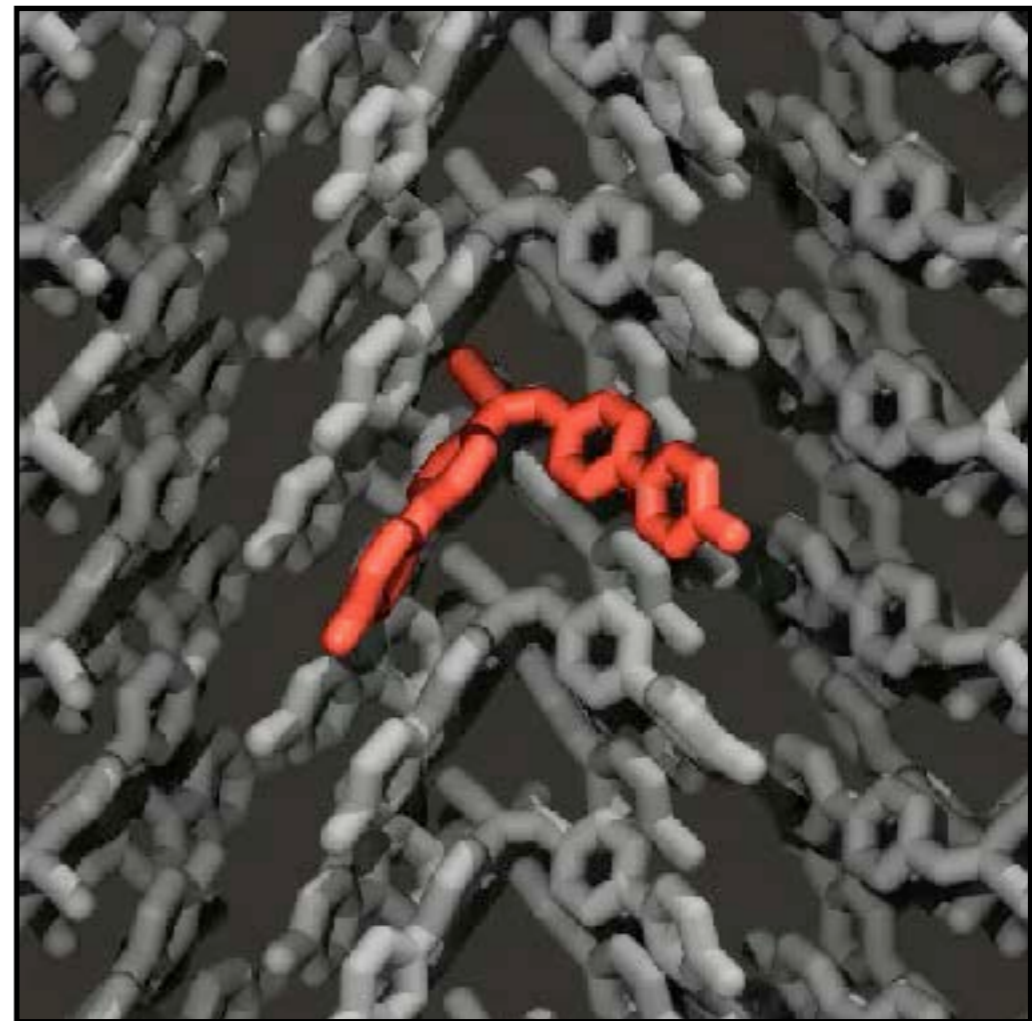
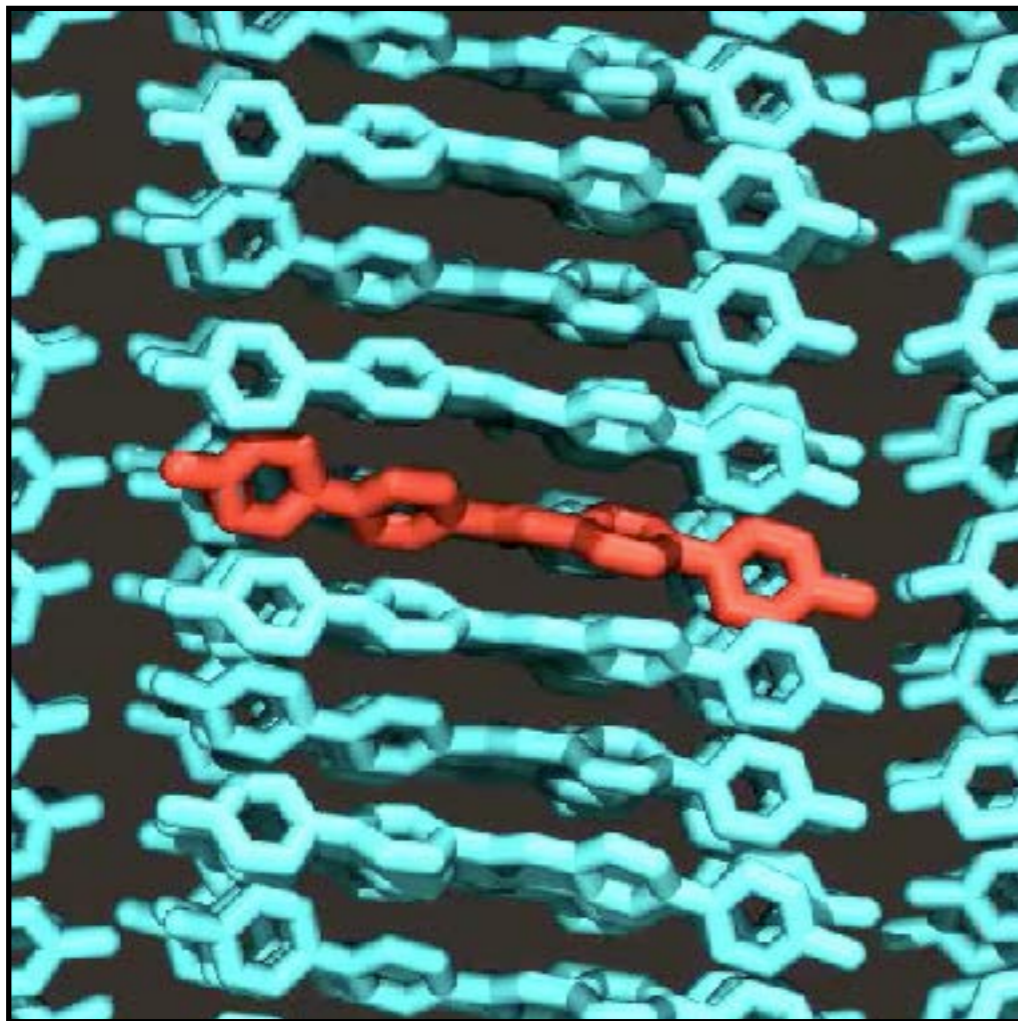
- The free-energy profile shows the FC-to-Cl “*twisting*” path is a slight uphill, where the Cl point is 0.5 eV higher than the FC point.
- The twisting is energetically favorable. → The molecule can reach Cls.
- Excitation energy vanishes via Cls. → FL quenches even in aggregates.





# Conclusion

- **Free energy profiles** along the FC-to-CI pathways successfully captured the AIE mechanism of CN-MBE.
- **Our approach used in this study** can be applied to **various optical materials**.



Yamamoto, *Phys. Chem. Chem. Phys.*, Vol. 23, pp. 1317–1324 (2021)